NY-0291

State House

124th District

Republican

Barclay, Will

RECEIVED

MAY 12 2004

LEGISLATIVE ETHICS COMMITTEE

Legislative Ethics Committee
Box 75, L.O.B.
Albany, New York 12247
(518)432-7837/7838

Annual Statement of Financial Disclosure

For Calendar Year 2003

1. Name William A. Barclay

2. (a) Title of Position Member of The Assembly

   (b) Department, Agency or other Governmental Entity 124th Assembly District

   (c) Address of Present Office 200 North Second Street, Fulton NY 13069

   (d) Office Telephone Number 315-598-5185

3. (a) Marital Status Married. If married, please give spouse's full name including maiden name where applicable.

   Margaret N. Barclay

   (b) List the names of all unemancipated children.

   Harry H. Barclay

   George G. Barclay

INSTRUCTIONS

Answer each of the following questions completely, with respect to calendar year 2003, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories: Category A - under $5,000; Category B - $5,000 to under $20,000; Category C - $20,000 to under $60,000; Category D - $60,000 to under $100,000; Category E - $100,000 to under $250,000; and Category F - $250,000 or over. A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

5. (a) List the name, address and description of any occupation, employment, (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
Partner	Hiscock & Barclay, LLP	Law Firm	Please See Exhibit C
	Financial Plaza		
	221 South Warren Street		
	Syracuse, New York 13221		

(b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of such agency.

Position	Name & Address of Organization	Description	State or Local Agency
Not Applicable			

6. List any interest, in EXCESS of $1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity

mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description
Not Applicable	

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans other than retirement plans of the state of New York or the city of New York, and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of $1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value*
Hiscock & Barclay, LLP 401(K) Plan	

***The value of such interest shall be reported only if reasonably ascertainable.**

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

Not Applicable

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of $1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

Not Applicable

13. List below the nature and amount of any income in EXCESS of $1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount
Self	Hiscock & Barclay, LLP	Salary	
Self	Panthus Corporation	Board Fees	
Self	Key Bank	Dividend	

has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8(a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Self/ Spouse	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of publicly traded stock, or more than 10% of stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement
Please See Exhibit D				

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of $1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by

interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount
Wachovia Securities	Margin Account	
	Collateral is Caterpillar, Inc.	
	KeyCorp and Oneida Ltd.	
	Common Stock	

The requirements of law relating to the reporting of the financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

William A Baul[illegible]
(Signature of Reporting Individual)

05/12/04
Date (month/day/year)

William A. Barclay
Member of Assembly
124^{th} Assembly District

Exhibit A
Question 4(a)

Position	Organization	State or Local Agency
Director	Panthus Corporation	
Director	QMP Enterprises	
Partner	Hiscock & Barclay, LLP	

Exhibit B
Question 4(b)

Position	Organization	State or Local Agency
Director	WCNY	
Director	SUNY Oswego College Council	State University of New York

Exhibit C
Question 5(a)

From time to time, my firm Hiscock & Barclay, LLP, in the ordinary course of business, has represented the State of New York, and a number of its agencies, and various local municipalities. I personally do not represent the State of New York, any of its agencies or any local municipalities.

William A. Barclay
Member of Assembly
124^{th} Assembly District

Exhibit D
Question 16

Self/Spouse	Issuing Entity	Type of Security	% Owned	Category of Market Value
Self	ABA Stable Asset Return Fund	Mutual Fund	N/A	
Self	ABA Index Equity Fund	Mutual Fund	N/A	
Self	Caterpillar Inc.	Common Stock	N/A	
Self	KeyCorp	Common Stock	N/A	
Self	Oneida Ltd.	Common Stock	N/A	
Self	Comcast Corp	Common Stock	N/A	
Self	Cypress Semiconductor Corp.	Common Stock	N/A	
Self	EMC Corp.	Common Stock	N/A	
Self	Ericsson Telephone Company	Common Stock	N/A	
Self	Freddie Mac	Common Stock	N/A	
Self	Gemstar TV Guide INTL. Inc.	Common Stock	N/A	
Self	Intel Corp.	Common Stock	N/A	
Self	KLA Tencor Corp.	Common Stock	N/A	
Self	Liberty Media Corp.	Common Stock	N/A	
Self	ELI Lilly & Co.	Common Stock	N/A	
Self	Microsoft Corp.	Common Stock	N/A	
Self	Rite Aid Corp.	Common Stock	N/A	
Self	US Bancorp	Common Stock	N/A	
Self	XCEL Energy, Inc.	Common Stock	N/A	
Self	Lehman Brothers Money Market Obligations Trust	Mutual Fund	N/A	