Please note: This state requires its Supreme Court judges to file disclosures regarding private financial interests and outside income under both the Code of Judicial Conduct and a separate state ethics law.

A sample of each form can be found on the following pages.

CODE OF JUDICIAL CONDUCT REPORT

Name: ______________________________

Judicial Office Held: ______________________________

Location: ______________________________

I. Compensation for extra-judicial activity received by the person making disclosure during the preceding calendar year. (Canon 4H(3) - see definition 1, next page)

Date of Activity	Place of Activity	Nature of Activity	Name of Payor	Amount of Compensation

If you are a retired justice or judge appointed to sit pro tempore under Administrative Rule 23, and you received compensation during this reporting period for private arbitration or mediation services, you may comply with section I of this form concerning compensation from that business by attaching a copy of Schedule A of the Public Official Financial Disclosure Statement that you file with the Alaska Public Offices Commission. (Cannon 4H(3)) *Note:* Schedule A requires disclosure only of sources of income over $5000.

II. Income in the form of gifts, bequests, favors, or loans which is valued in excess of $250 other than those specifically permitted in Canon 4D(5)(a)-(g)(see definition2, next page) received during the preceding calendar year by the person making disclosure or a member of the judicial officer's family residing in the household. (Canon 4D(5)(h) - see definition 3, next page)

Date	Received by (self or family member)	Nature of Activity	Amount	Source

______________________________ ______________________________

Date Signature of Judicial Officer

NOTE: This report covers the period between January 1, 2006 and December 31, 2006. For those judicial officers appointed during the past year, the report needs only to cover the period of time after you commenced your duties.

CODE OF CONDUCT REPORT

1 "Compensation" is defined as income received by the judge for personal services or from business activities. It does not include income from a business or property that the judge does not actively manage and it does not include compensation or income of a spouse that is attributed to the judge by operation of a community property law. See Canon 4H.

2 Canon 4(D)(5) governs the acceptance of gifts, bequests, favors, and loans. That section reads as follows:

(5) A judge shall not accept, and shall urge members of the judge's family residing in the judge's household not to accept a gift, bequest, favor, or loan from anyone, except for:

(a) a gift incident to a public testimonial, or books, tapes, and other resource materials supplied by publishers on a complimentary basis for office use, or an invitation to the judge and the judge's spouse or guest to attend a bar-related function or an activity devoted to the improvement of the law, the legal system, or the administration of justice;

(b) a gift, award, or benefit incident to the business, profession, or other separate activity of a spouse or other family member residing in the judge's household, including gifts, awards, and benefits for the use of both the spouse or other family members and the judge (as spouse or family member), provided that the gift, award, or benefit could not reasonably be perceived as intended to influence the judge in the performance of judicial duties;

(c) ordinary social hospitality;

(d) a gift from a relative or friend for a special occasion such as a wedding, anniversary, or birthday, if the gift is fairly commensurate with the occasion and the relationship;

(e) a gift, bequest, favor, or loan from a relative or close personal friend whose appearance or interest in a case would in any event require the judge's disqualification under Section 3E;

(f) a loan from a lending institution in its regular course of business on the same terms generally available to persons who are not judges;

(g) a scholarship or fellowship awarded on the same terms and based on the same criteria applied to other applicants; or

(h) any other gift, bequest, favor, or loan, but only if the donor is not a person who has come or is likely to come before the judge, and if the person's interests have not come and are unlikely to come before the judge. If the value of the gift, bequest, favor, or loan exceeds $150.00, the judge shall report the gift, bequest, favor, or loan in the same manner as the judge reports compensation under Section 4H.

3 "Member of the judge's family" means a spouse, child, grandchild, parent, grandparent, or other relative or person with whom the judge maintains a close familial relationship. See Sections 2B, 3E(1)(c), 4E(1), and 4G.

"Spouse" includes not only a husband or wife but also any person with whom the judge maintains a shared household and conjugal relations. See Sections 3E(1)(c), 3E(1(d), 3E(2), 4D(5)(a), 4D(5)(b), 4H(1)(b), 4H(3), and 5A(3)(a).

ALASKA PUBLIC OFFICES COMMISSION
2221 E. NORTHERN LIGHTS, #128
ANCHORAGE, AK 99508-4149
907/276-4176 - FAX 276-7018

ALASKA PUBLIC OFFICES COMMISSION
2007 PUBLIC OFFICIAL FINANCIAL DISCLOSURE STATEMENT

BACKGROUND INFORMATION

1. This report is for judges and magistrates; board and commission members; candidates for governor and lieutenant governor; the incumbent governor and lieutenant governor; executive branch public officials; incumbent municipal officials and municipal candidates; and candidates for the legislature who are NOT incumbent legislators.

2. This report is for the preceding calendar year, so **include any information about financial interests held between January 1, 2006 and December 31, 2006.**

3. You must show your financial interests and those held by your spouse, domestic partner, or dependent children during the preceding calendar year. **NOTE:** ***Municipal officers are not required to disclose information about their domestic partner.***

4. If you need help, call APOC at 276-4176.

5. **SIGN THIS REPORT ON THE LAST PAGE**

NAME: ____

OCCUPATION: ____________________________________ **Phone** ______ **Fax Number** ______

MAILING ADDRESS: ____________________________________
(Current Street Address or Post Office Box) **E-Mail Address**

____
(City/Town and Zip Code)

OFFICE HELD OR SOUGHT (CHECK ONE): State ☐ Municipal ☐

OFFICE: ________________ TERM OF OFFICE: From _______ to _______

TITLE: ____

TYPE OF STATEMENT (CHECK ONE):

☐ **CANDIDATE STATEMENT** Must be filed with your declaration of candidacy
☐ **INITIAL STATEMENT** For newly appointed state and municipal officials
☐ **ANNUAL STATEMENT** **Due by March 15**

SPOUSE OR DOMESTIC PARTNER: ____________________________________

DEPENDENT CHILDREN: ____________________________________

SCHEDULE A

SOURCES OF INCOME OVER $5,000

Salaried Employment **If NONE reportable, check box →** ☐

Report the name of each employer who paid you, your spouse, domestic partner or dependent children more than $5,000 during calendar year 2006. See page 12 of the instruction manual for more help.

Name of filer, spouse, domestic partner, or child: ______________________

Employer's Name: ______________________

Name of filer, spouse, domestic partner, or child: ______________________

Employer's Name: ______________________

Name of filer, spouse, domestic partner, or child: ______________________

Employer's Name: ______________________

Name of filer, spouse, domestic partner, or child: ______________________

Employer's Name: ______________________

Self-Employment **If NONE reportable, check box →** ☐

List the name and address of each self-employment business that was a source of income of more than $5,000 for you, your spouse, domestic partner or dependent child during calendar year 2006.

If the business is non-retail, list the first and last name of each client or customer who paid the business over $5,000.

Self-employment includes: sole proprietor, partnership, limited liability company, shareholder in a professional corporation; or if you held (individually or with another family member) more than 50% of the stock in a corporation. For more help with this section, see pages 13-15 of the Manual.

Name of filer, spouse, domestic partner, or child: ______________________

Business Name: ______________________

Retail ☐ Non-Retail ☐ (If you check non-retail, list clients/customers below.)

Name of client/customer: ______________________

____

____

Name of filer, spouse, domestic partner, or child: ______________________

Business Name: ______________________

Retail ☐ Non-Retail ☐ (If you check non-retail, list clients/customers below.)

Name of client/customer: ______________________

____

____

SOURCES OF INCOME OVER $5,000

Rental Income **If NONE reportable, check box →** ☐

List the first and last name of each tenant from whom over $5,000 was received during **calendar year 2006.** If property is located outside Alaska and managed by a person other than you, your spouse, domestic partner or dependent child, you may list the managing agent instead of listing each tenant. See page 16 of the manual for more help with this section.

Owner (filer, spouse, domestic partner, or child)	Tenant(s)

Dividends and Interest **If NONE reportable, check box →** ☐

Report the name of the source of all dividends, interest and capital gains over $5,000 earned during **calendar year 2006** such as Dean Witter Money Market Acct. or CD's in ABC Bank.

- List the name(s) of the asset(s) (not in a retirement account) which paid you, your spouse, domestic partner or child dividends, interest or capital gains of more than $5,000 last year such as IBM stock or Cordova Municipal Bonds. See page 17 of the manual for more help.
- (**Report the assets of a retirement account or trust on page 6)**

Recipient (filer, spouse, domestic partner, or child)	Name of Source of Income

Other Income **If NONE reportable, check box →** ☐

List each source of income over $5,000 not listed elsewhere on this statement, including income from public assistance, workman's compensation, unemployment, the name of the buyer of real property; social security; retirement; the name of the person who paid alimony or child support; government entitlements; honoraria and shared living expenses.

See page 18 of the manual for more help.

Recipient (filer, spouse, domestic partner, or child)	Name of Source

Gifts **If NONE reportable, check box →** ☐

List the source of gifts which have a value of or cumulative value of more that $250 **except** gifts received from a spouse, domestic partner, parent, child, sibling, grandparent, aunt, uncle, niece or nephew. **Some** examples of gifts include: cash, a debt that is forgiven, scholarships, and discounts not extended to the general public. See page 19 of the manual for more examples and help with this section.

Recipient (filer, spouse, domestic partner or child)	**NAME OF SOURCE**

SCHEDULE B

BUSINESS INTERESTS

Business Interests **If NONE reportable, check box →** ☐

Report all business interests even if they were not sources of income to you, your spouse, domestic partner, or dependent child during **calendar year 2006.**

- List ownership interests of more than $5,000 as a shareholder in publicly traded stocks, that are not listed elsewhere on this form. (A list of the names of publicly traded stocks such as IBM or Intel may be listed by name only on a separate page.)
- List ownership interests in non-publicly traded companies such as a sole proprietor, shareholder, owner, partner, officer, or director including ownership interests in native corporations.
- List interests in limited liability companies
- List director or officer position in profit and non-profit organizations.

Describe the business activity with sufficient detail to tell a reader what the organization actually does.

See page 20 of the manual for more help.

Name of filer, spouse, domestic partner, or child: ______________________

Business Name: ______________________

Business Address: ______________________

Nature of Interest: ______________________

Description of Business's Activity: ______________________

Name of filer, spouse, domestic partner, or child: ______________________

Business Name: ______________________

Business Address: ______________________

Nature of Interest: ______________________

Description of Business's Activity: ______________________

Name of filer, spouse, domestic partner, or child: ______________________

Business Name: ______________________

Business Address: ______________________

Nature of Interest: ______________________

Description of Business's Activity: ______________________

Name of filer, spouse, domestic partner, or child: ______________________

Business Name: ______________________

Business Address: ______________________

Nature of Interest: ______________________

Description of Business's Activity: ______________________

SCHEDULE C

REAL PROPERTY INTERESTS/RENT TO OWN

Real Property Interests **If NONE reportable, check box →** ☐

Report all property interests such as your home, neighboring lots, rent to own home, rental property, vacant, recreational, business property or limited partnerships including real estate interests held in an LLC; or held through a trust or sold during **calendar year 2006.**

Include a street address, city and state **or** complete legal description for each piece of property listed. **Do not** use mile post markers or post offices boxes.

Use copies of this page if you need additional space to complete this section.
See page 21 of the manual for more help.

Name of filer, spouse, domestic partner, or child: ______________________

Street Address or Legal Description: ______________________

City or Borough and State: ______________________

Nature of Interest: ______________________ ______________________
(Option to Buy, Ownership, Leasehold) Current Use (Optional)

Name of filer, spouse, domestic partner, or child: ______________________

Street Address or Legal Description: ______________________

City or Borough and State: ______________________

Nature of Interest: ______________________ ______________________
(Option to Buy, Ownership, Leasehold) Current Use (Optional)

Name of filer, spouse, domestic partner, or child: ______________________

Street Address or Legal Description: ______________________

City or Borough and State: ______________________

Nature of Interest: ______________________ ______________________
(Option to Buy, Ownership, Leasehold) Current Use (Optional)

Name of filer, spouse, domestic partner, or child: ______________________

Street Address or Legal Description: ______________________

City or Borough and State: ______________________

Nature of Interest: ______________________ ______________________
(Option to Buy, Ownership, Leasehold) Current Use (Optional)

Name of filer, spouse, domestic partner, or child: ______________________

Street Address or Legal Description: ______________________

City or Borough and State: ______________________

Nature of Interest: ______________________ ______________________
(Option to Buy, Ownership, Leasehold) Current Use (Optional)

SCHEDULE D

BENEFICIAL INTEREST IN TRUSTS & RETIREMENT ACCOUNTS Exceeding $5,000

Trusts & Retirement Accounts **If NONE reportable, check box →** ☐

Report each beneficial interest in a trust or retirement account held by you, your spouse, domestic partner or dependent children that exceeded $5,000 during **calendar year 2006**. Retirement accounts include **employee benefit accounts (pension and profit-sharing accounts), and retirement accounts (IRA, 401K, SEP or Keogh).** Assets of a trust or retirement account include stocks, bonds, mutual funds, cash accounts, CD's, real property.

- Name the trustor (the person or employer who provided the funds or assets for the trust or retirement account).
- If a trust or retirement account is self directed, also list the assets by name such as IBM stock or Templeton Growth Fund.

See page 22 of the manual for more help.

Name of filer, spouse or domestic partner, or child: Extent of Interest (Percent)

Name of the person, employer or entity who provided the funds or assets (Trustor)

Name(s) of the stocks, bonds, mutual funds or other assets contained in the retirement account or trust

Name of filer, spouse or domestic partner, or child: Extent of Interest (Percent)

Name of the person, employer or entity who provided the funds or assets (Trustor)

Name(s) of the stocks, bonds, mutual funds or other assets contained in the retirement account or trust

Name of filer, spouse or domestic partner, or child: Extent of Interest (Percent)

Name of the person, employer or entity who provided the funds or assets (Trustor)

Name(s) of the stocks, bonds, mutual funds or other assets contained in the retirement account or trust

Name of filer, spouse or domestic partner, or child: Extent of Interest (Percent)

Name of the person, employer or entity who provided the funds or assets (Trustor)

Name(s) of the stocks, bonds, mutual funds or other assets contained in the retirement account or trust

Name of filer, spouse or domestic partner, or child: Extent of Interest (Percent)

Name of the person, employer or entity who provided the funds or assets (Trustor)

Name(s) of the stocks, bonds, mutual funds or other assets contained in the retirement account or trust

SCHEDULE E
LOANS, LOAN GUARANTEES, AND DEBTS
OF $5,000 OR MORE

NATURAL RESOURCE LEASES

Loans, Loan Guarantees, and Debts — If NONE reportable, check box → ☐

Report the name of each creditor or lender to whom more than $5,000 was owed during **calendar year 2006** by you, your spouse, domestic partner, or dependent children.

List financial obligations including mortgages on property sold during **calendar year 2006;** loans that have been guaranteed; delinquent taxes, alimony, child support payments; medical bills; mortgage, boat and auto loans; business and personal loans; escrow's; student loans; signature loans; and promissory notes. Loans include secured, unsecured and contingent loans. Do not report credit card obligations or revolving charge accounts.

Circle whether the entity is a lender, creditor or guarantor. See page 23 of the manual for more help with this section.

Name of Debtor (filer, spouse, domestic partner or child)	Name of Lender/Creditor/Guarantor
Name of Debtor (filer, spouse domestic partner or child)	Name of Lender/Creditor/Guarantor
Name of Debtor (filer, spouse, domestic partner or child)	Name of Lender/Creditor/Guarantor
Name of Debtor (filer, spouse, domestic partner or child)	Name of Lender/Creditor/Guarantor
Name of Debtor (filer, spouse, domestic partner or child)	Name of Lender/Creditor/Guarantor
Name of Debtor (filer, spouse, domestic partner or child)	Name of Lender/Creditor/Guarantor

Natural Resource Leases — If NONE reportable, check box → ☐

List all natural resource leases, including mineral, timber, or oil leases bid held or offered during **calendar year 2006**. Report this information for yourself, your spouse, domestic partner or dependent child who was a sole proprietor, a partnership or professional corporation of which you are a member; or a corporation in which you or your family members listed above (or a combination of them) held a controlling interest.

See page 24 of the manual for more help.

Leaseholder	Nature of Lease
Indicate: Bid, held or offer made	Identity of Lease and Description
Leaseholder	Nature of Lease
Indicate: Bid, held or offer made	Identity of Lease and Description

SCHEDULE F

GOVERNMENT CONTRACTS AND LEASES

CERTIFICATION

Contracts and Offers to Contract **If NONE reportable, check box →** ☐

List all contracts and offers to contract with the state or instrumentality of the state or a municipality during **calendar year 2006** held, bid or offered. Report this information for yourself, your spouse, domestic partner or, dependent children who was a sole proprietor, a partnership or professional corporation of which you are a member; or a corporation in which you or your family members listed above (or a combination of them) held a controlling interest.

See page 25 of the manual for more help.

Name(s) of Contractor	Contracting Agency/Department
Indicate: Bid, held or offer made	Contract number and description
Name(s) of Contractor	Contracting Agency/Department
Indicate: Bid, held or offer made	Contract number and description

CERTIFICATION

I certify under penalty of perjury that the information in this Statement is, to the best of my knowledge, true, correct and complete. A person who makes a false sworn certification which he or she does not believe to be true is guilty of perjury.

SIGNATURE	DATE
Printed Name of Filer	Place

Where to file this Statement

MUNICIPAL OFFICIALS AND CANDIDATES - File Statements with the local City or Borough Clerk in the jurisdiction where you hold or seek office

STATE CANDIDATES: - File **Candidate** Statements with the Division of Elections along with your Declaration of Candidacy

State officials: - File **initial and annual** Statements with the Alaska Public Offices Commission at:

2221 E. Northern Lights #128
Anchorage, AK 99508-4149
Telephone 907/276-4176
FAX 907/276-7018

OR

PO Box 110222
Juneau, AK 99811-0222
240 Main, Rm. 201
Telephone 907/465-4864
FAX 907/465-4832